

# Half-Positional Objectives Recognized by Deterministic Büchi Automata

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Laboratoire  
Méthodes  
Formelles



# Outline

## Synthesis problem

Synthesizing **controllers** for **reactive systems** with an **objective**.  
Systems and their environment modeled with **zero-sum games**.

## Strategy complexity

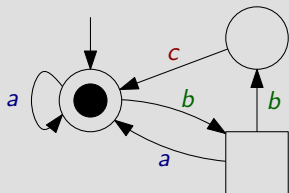
When can we implement **simple and concise** optimal controllers?

## Results

**Effective characterization** of objectives admitting controllers with no need for memory, among those recognizable by **deterministic Büchi automata**.

# Games

## Zero-sum turn-based games on graphs



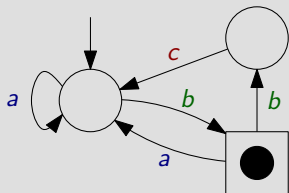
- $C = \{a, b, c\}$ ,  $\mathcal{A} = (V_1, V_2, E)$ .
- Two players  $\mathcal{P}_1$  ( $\circ$ ) and  $\mathcal{P}_2$  ( $\square$ )

## Motivation

Understand the **objectives** for which **simple** strategies suffice to win (in all arenas).

# Games

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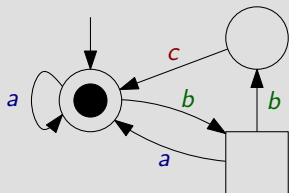
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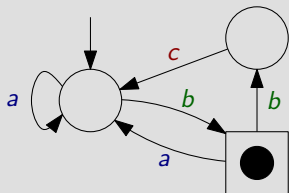
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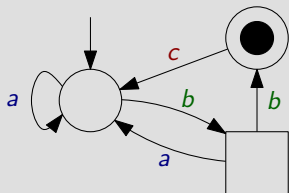
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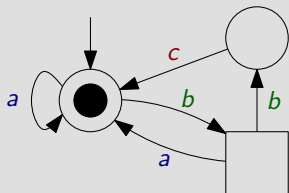
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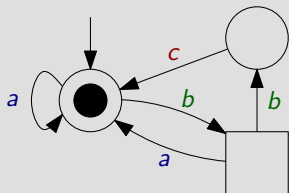
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## Zero-sum turn-based games on graphs



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- Two players  $\mathcal{P}_1$  ( $\circ$ ) and  $\mathcal{P}_2$  ( $\square$ ) generate an infinite word  $w = babbcb \dots \in C^\omega$ .
- **Objective** of  $\mathcal{P}_1$  is a set  $W \subseteq C^\omega$ .

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Understand the **objectives** for which **simple** strategies suffice to win (in all arenas).

# Half-positionality

## Strategies

A **strategy** of  $\mathcal{P}_1$  is a function  $\sigma: E^* \rightarrow E$ .

It is **positional** if the choices only depend on the **current** vertex, i.e., if

$$\sigma: V_1 \rightarrow E.$$

## Half-positional objectives

In all games with objective  $W$ , if  $\mathcal{P}_1$  can win with **some** strategy, can  $\mathcal{P}_1$  also win with a **positional** strategy?

$\rightsquigarrow$  If yes,  $W$  is **half-positional**.

$W$  is **bipositional** if both  $\mathcal{P}_1$  (objective  $W$ ) and  $\mathcal{P}_2$  (objective  $C^\omega \setminus W$ ) have positional winning strategies.

# Half-positionality

## Bi**positionality** is well-understood

- **Characterization** over finite arenas.<sup>1</sup>
- **Characterization** over infinite arenas.<sup>2</sup>

## Previous results on **half-positionality**

- **Sufficient** conditions for half-positionality over finite arenas.<sup>3,4</sup>
- Structural **characterization** over infinite arenas.<sup>5</sup>

Might still be difficult to **decide** if an objective is half-positional!

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<sup>1</sup>Gimbert and Zielonka, "Games Where You Can Play Optimally Without Any Memory", 2005.

<sup>2</sup>Colcombet and Niwinski, "On the positional determinacy of edge-labeled games", 2006.

<sup>3</sup>Kopczyński, "Half-Positional Determinacy of Infinite Games", 2006.

<sup>4</sup>Bianco et al., "Exploring the boundary of half-positionality", 2011.

<sup>5</sup>Ohlmann, "Characterizing Positionality in Games of Infinite Duration over Infinite Graphs", 2022.

# Objectives

Common class of objectives finitely representable:  
 **$\omega$ -regular objectives.**

## Open problem

Half-positionality **not** completely understood for  $\omega$ -regular objectives!

## Here

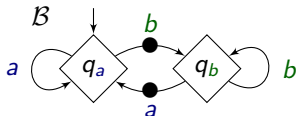
**Effective characterization** of **half-positional** objectives recognized by **deterministic Büchi automata** (DBA).

DBA recognize a **subclass** of the  $\omega$ -regular objectives.

# Deterministic Büchi automata

A **deterministic Büchi automaton (DBA)**  $\mathcal{B}$  on alphabet  $C$

- reads **infinite** words (in  $C^\omega$ ),
- accepts words that see infinitely many **Büchi transitions** •.



$$\mathcal{L}(\mathcal{B}) = \{w \in \{a, b\}^\omega \mid w \text{ sees } \infty \text{ly many } a \text{ and } \infty \text{ly many } b\}$$

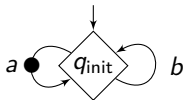
## Central question

Given a DBA  $\mathcal{B}$ , **is objective**  $W = \mathcal{L}(\mathcal{B})$  **half-positional**?

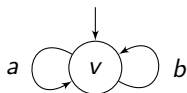
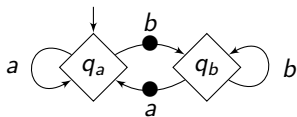
# Examples (1/2)

$$C = \{a, b\}.$$

- $W = \text{Büchi}(a) =$  “seeing  $a$  infinitely often”: **half-positional**.<sup>6</sup>



- $W = \text{Büchi}(a) \cap \text{Büchi}(b)$ : **not half-positional**.

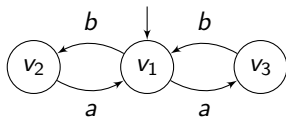
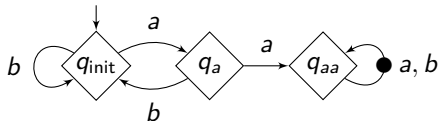


<sup>6</sup>Emerson and Jutla, “Tree Automata, Mu-Calculus and Determinacy (Extended Abstract)”, 1991.

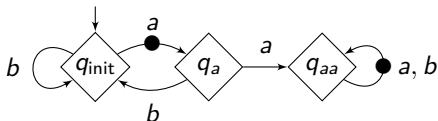
## Examples (2/2)

$C = \{a, b\}$ .

- $W = C^*aaC^\omega$ : **not half-positional**.



- $W = \text{Büchi}(a) \cup C^*aaC^\omega$ : **half-positional**.



$\rightsquigarrow$  **Not** bipositional, does **not** satisfy previous sufficient conditions for half-positionality. But encompassed by our characterization!

**Characterization** of **half-positionality** of  
**DBA**-recognizable objectives  
with a conjunction of **three** conditions



# Relations on prefixes

Let  $W \subseteq C^\omega$  be an objective.

## Accepted continuations

For  $u \in C^*$ ,  $u^{-1}W = \{w \in C^\omega \mid uw \in W\}$ .

For  $u, v \in C^*$ ,

- $u \sim_W v$  if  $u^{-1}W = v^{-1}W$  ( $\approx$  Myhill-Nerode equivalence relation),
- $u \preceq_W v$  if  $u^{-1}W \subseteq v^{-1}W$  (called **prefix preorder**).

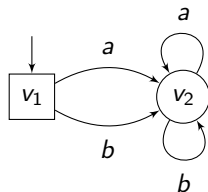
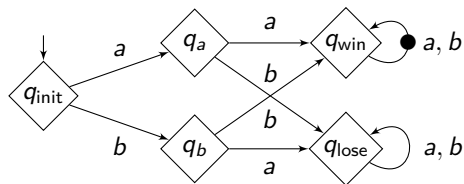
# Condition 1: $\preceq_W$ is total

Let  $W \subseteq C^\omega$  be an objective.

## Condition 1

Prefix preorder  $\preceq_W$  is total.

$W = (aa + bb)C^\omega$ : words  $a, b \in C^*$  are **not** comparable for  $\preceq_W$ .



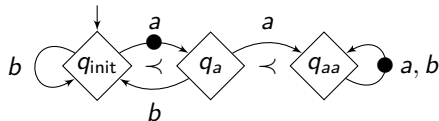
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## Condition 1

Prefix preorder  $\preceq_W$  is total.

$W = \text{Büchi}(a) \cup C^*aaC^\omega$ : total prefix preorder  $\preceq_W$ .



## Condition 2: progress-consistency

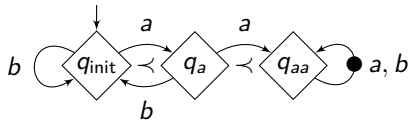
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### Condition 2

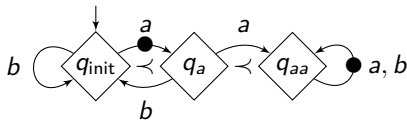
Objective  $W$  is **progress-consistent** if

for all  $u, v \in C^*$ ,  $u \prec_W uv$  implies  $uv^\omega \in W$ .

$C^*aaC^\omega$  **is not** progress-consistent:  
 $b \prec b(ba)$  but  $b(ba)^\omega \notin W$ .

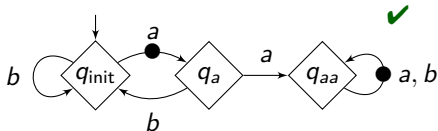
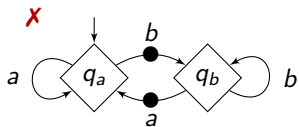


$\text{Büchi}(a) \cup C^*aaC^\omega$  **is** progress-consistent (here,  $b(ba)^\omega \in W$ ).



# Intuition for third condition

What distinguishes these two DBA?



- Left: needs two states for the objective, but same accepting continuations ( $q_a \sim q_b$ ; same objective when taken as initial states).
- **Right: three states, all recognizing different objectives.**

Being “**Myhill-Nerode**-like” is necessary for half-positionality.

## Condition 3: one state per equivalence class

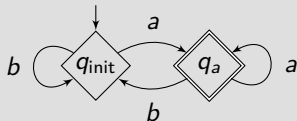
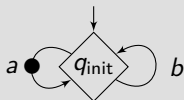
Let  $W \subseteq C^\omega$  be an objective recognized by a DBA.

### Condition 3

Objective  $W$  is **Myhill-Nerode-like** if it can be recognized by a DBA with one state per equivalence class of  $\sim_W$ .

### Remark

We consider **transition**-based acceptance, not **state**-based!  
 $W = \text{Büchi}(a)$  only satisfies the condition for transition-based:



# Characterization

## Theorem

An objective  $W$  recognized by a DBA is **half-positional** if and only if

- $\preceq_W$  is total,
- $W$  is progress-consistent, and
- $W$  is Myhill-Nerode-like.

All three conditions are decidable in **polynomial** time (by checking containment/emptiness of deterministic automata).

# Conclusion

Let  $W$  be an objective recognized by a DBA  $\mathcal{B}$ .

## Corollary 1: Polynomial-time algorithm

**Half-positionality** of  $W$  can be **decided** in  $\mathcal{O}(|\mathcal{B}|^4)$  time.

## Corollary 2: Finite-to-infinite, one-to-two-player lift

If  $W$  is half-positional over **finite one-player** games, then also over **infinite two-player** games!

## Future works

- Half-positionality in all  $\omega$ -regular objectives?
- More generally, memory requirements?

# Thanks!