# Characterizing $\omega$ -Regular Languages through Strategy Complexity of Games on Infinite Graphs

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## Outline

#### Strategy synthesis for zero-sum turn-based games on infinite graphs

Design **optimal** controllers for systems interacting with an **antagonistic** environment.

Interest in "simple" strategies

Finite-memory determinacy: when do finite-memory strategies suffice?

#### Inspiration

Results about memoryless determinacy.<sup>1</sup>

Strategic Characterization of  $\omega$ -Regular Languages

<sup>&</sup>lt;sup>1</sup>Colcombet and Niwiński, "On the positional determinacy of edge-labeled games", 2006.

### Zero-sum turn-based games on graphs



- Two-player arenas:  $S_1$  ( $\bigcirc$ , for  $\mathcal{P}_1$ ) and  $S_2$  ( $\square$ , for  $\mathcal{P}_2$ ), edges E.
- Set C of **colors**. **Edges** are colored.
- **Objectives** given by a set  $W \subseteq C^{\omega}$ . **Zero-sum**.
- A strategy for  $\mathcal{P}_i$  is a function  $\sigma \colon E^* \to E$ .

# Memoryless determinacy

#### Question

Given an objective, do "simple" strategies suffice to play optimally in all arenas?

A strategy  $\sigma$  of  $\mathcal{P}_i$  is *memoryless* if it is a function  $\not E S_i \to E$ .



E.g., for reachability, **memoryless** strategies suffice to play optimally. Also suffice for safety, Büchi, parity...

## Memoryless determinacy

### Good understanding of memoryless determinacy in finite arenas

Sufficient conditions and characterizations of memoryless determinacy

- for **one** player,<sup>2,3,4,5</sup>
- for **both** players.<sup>6,7,8</sup>

What about **infinite** arenas?

Strategic Characterization of  $\omega\text{-Regular}$  Languages

<sup>&</sup>lt;sup>2</sup>Kopczyński, "Half-Positional Determinacy of Infinite Games", 2006.

<sup>&</sup>lt;sup>3</sup>Gimbert, "Pure Stationary Optimal Strategies in Markov Decision Processes", 2007.

<sup>&</sup>lt;sup>4</sup>Bianco et al., "Exploring the boundary of half-positionality", 2011.

<sup>&</sup>lt;sup>5</sup>Gimbert and Kelmendi, "Submixing and Shift-Invariant Stochastic Games", 2014.

<sup>&</sup>lt;sup>6</sup>Gimbert and Zielonka, "When Can You Play Positionally?", 2004.

<sup>&</sup>lt;sup>7</sup>Aminof and Rubin, "First-cycle games", 2017.

<sup>&</sup>lt;sup>8</sup>Gimbert and Zielonka, "Games Where You Can Play Optimally Without Any Memory", 2005.

# What about **infinite arenas**?

#### Motivations

**1** Links between the **strategy complexity** in finite and **infinite** arenas?

Similar sufficient conditions/characterizations for infinite arenas? ~> Classical proof technique for finite arenas (induction on number of edges) is not suited to infinite arenas.

### Greater memory requirements in infinite arenas

Colors  $C = \mathbb{Q}$ , objective W = "get a mean payoff  $\geq 0$ ".

- Memoryless strategies sufficient in finite arenas.<sup>9</sup>
- Infinite memory required in (even one-player) infinite arenas.<sup>10</sup>



 $\rightsquigarrow$  Possible to get 0 at the limit with infinite memory: loop increasingly many times in states  $s_n$ .

Strategic Characterization of  $\omega$ -Regular Languages

<sup>&</sup>lt;sup>9</sup>Ehrenfeucht and Mycielski, "Positional Strategies for Mean Payoff Games", 1979.

<sup>&</sup>lt;sup>10</sup>Puterman, Markov Decision Processes: Discrete Stochastic Dynamic Programming, 1994.

### Infinite arenas, memoryless strategies

Let  $W \subseteq C^{\omega}$  be a **prefix-independent** objective.

Characterization of **memoryless** determinacy (infinite arenas)<sup>11</sup>

If **memoryless strategies** suffice to play optimally for both players in all **infinite arenas**, then W is a **parity condition**.

**Parity condition**: there exists  $p: C \rightarrow \{0, \ldots, n\}$  such that

$$w = c_1 c_2 \ldots \in W \iff \limsup_i p(c_i)$$
 is even.

**Characterization** since parity objectives are memoryless-determined (in arenas of any cardinality).<sup>12</sup>

Strategic Characterization of  $\omega$ -Regular Languages

 $<sup>^{11}\</sup>mbox{Colcombet}$  and Niwiński, "On the positional determinacy of edge-labeled games", 2006.

<sup>&</sup>lt;sup>12</sup>Zielonka, "Infinite Games on Finitely Coloured Graphs with Applications to Automata on Infinite Trees", 1998.

- What about strategies with finite memory? → More and more prevalent in the literature.

### Finite memory

Finite-memory strategy  $\approx$  memory structure + next-action function.

#### Memory structure

*Memory structure*  $(M, m_{\text{init}}, \alpha_{\text{upd}})$ : finite set of states M, initial state  $m_{\text{init}}$ , update function  $\alpha_{\text{upd}}$ :  $M \times C \rightarrow M$ .

Ex. to remember whether a or b was last played (not yet a strategy!):



Given an arena  $\mathcal{A} = (S, S_1, S_2, E)$ : *next-action function*  $\alpha_{nxt} \colon S_i \times M \to E$ .

Memoryless strategies are based on the "trivial" memory structure.

# Finite-memory determinacy

#### Finite-memory determinacy

An objective W is **finite-memory-determined** if **there exists a finite memory structure**  $\mathcal{M}$  that suffices to play optimally for both players in all arenas  $\mathcal{A}$ .

#### Remark

Usually, the definition inverts the order of the quantifiers. The order has a big impact in **finite arenas**, <sup>13</sup> but not in **infinite arenas** for our memory model.

<sup>&</sup>lt;sup>13</sup>Bouyer, Le Roux, et al., "Games Where You Can Play Optimally with Arena-Independent Finite Memory", 2020.

Tool to get rid of prefix-independence: right congruence

Let L be a language of **finite** words on alphabet C.

Right congruence

For  $x, y \in C^*$ ,  $x \sim_L y$  if for all  $z \in C^*$ ,  $xz \in L \Leftrightarrow yz \in L$ .

### Myhill-Nerode theorem<sup>14</sup>

*L* is regular if and only if  $\sim_L$  has finitely many equivalence classes. The equivalence classes of  $\sim_L$  correspond to the states of the minimal DFA for *L*.

<sup>&</sup>lt;sup>14</sup>Nerode, "Linear Automaton Transformations", 1958.

Tool to get rid of prefix-independence: right congruence

Let W be a language of **infinite** words (= an objective) on alphabet C.

### Right congruence

For  $x, y \in C^*$ ,  $x \sim_W y$  if for all  $z \in C^{\omega}$ ,  $xz \in W \Leftrightarrow yz \in W$ .

#### Links with $\omega$ -regularity?

- If W is ω-regular, then ~<sub>W</sub> has finitely many equivalence classes. In this case, there is still a DFA M<sub>∼</sub> "prefix-classifier" associated with ~<sub>W</sub>.
- The reciprocal is not true.

W is prefix-independent if and only if  $\sim_W$  has only one equivalence class.

# Insight for prefix-independence

Let W be an objective.

### Replacement for prefix-independence

If a **finite memory structure** suffices to play optimally in infinite arenas for both players, then  $\sim_W$  has finitely many equivalence classes.

**Intuition**: even without prefix-independence ( $\Leftrightarrow \sim_W$  has one equivalence class), we have a **strong property on prefixes** ( $\sim_W$  has finitely many equivalence classes).

### Four examples

Objective	Prefix-classifier $\mathcal{M}_{\sim}$	Memory
Parity objective	$\rightarrow \bigcirc C$	$\rightarrow \bigcirc C \mapsto \{0,\ldots,n\}$
$C=\mathbb{Q}$ , $W=MP^{\geq 0}$	→<>>> C	Infinite
$\mathcal{C}=\{a,b\}$ , $W=b^*ab^*aC^\omega$	$\xrightarrow{b,1} \xrightarrow{b,1} \xrightarrow{a,1} \xrightarrow{c,2} C,2$	→<>>> C
$C = \{a, b\},$ $W = C^* (ab)^\omega$	→<>>> C	b, 1 $b, 0$ $b, 0$ $a, 1$

### Main result

Let  $W \subseteq C^{\omega}$  be an objective.

#### Theorem

If a finite memory structure  $\mathcal{M}$  suffices to play optimally in **(one-player)** infinite arenas for both players, **then** W is **recognized by a parity automaton**  $(\mathcal{M}_{\sim} \otimes \mathcal{M}, p)$ .

$$\rightsquigarrow$$
 if  $\mathcal{M}_{\sim} \otimes \mathcal{M} = (M, m_{\text{init}}, \alpha_{\text{upd}}),$   
 $p \colon M \times C \to \{0, \dots, n\}.$ 

Generalizes [CN06]<sup>15</sup> (where  $\mathcal{M}_{\sim} = \mathcal{M} =$  "trivial memory structure").

<sup>&</sup>lt;sup>15</sup>Colcombet and Niwiński, "On the positional determinacy of edge-labeled games", 2006.

### Corollaries

Let  $W \subseteq C^{\omega}$  be an objective.

#### Characterization

W is finite-memory-determined if and only if W is  $\omega$ -regular.

### One-to-two-player FM lift (infinite arenas)

If W is finite-memory-determined in **one-player** infinite arenas, then W is finite-memory-determined in **two-player** infinite arenas.

Proof: *W* is finite-memory-determined in **one-player** arenas.  $\Rightarrow$  *W* is recognized by a deterministic parity automaton ( $\omega$ -regular).  $\Rightarrow^{16}$  this parity automaton (as a memory) suffices in **two-player** arenas.  $\Rightarrow$  this parity automaton (as a memory) suffices in **one-player** arenas.

<sup>&</sup>lt;sup>16</sup>Zielonka, "Infinite Games on Finitely Coloured Graphs with Applications to Automata on Infinite Trees", 1998.

# Summary

### Contributions

- **Strategic characterization** of ω-regular languages, generalizing [CN06].<sup>17</sup>
- New one-to-two-player lift for zero-sum games on infinite graphs.

#### Future work

- Other classes of arenas (e.g., finitely branching)?
- Stochastic infinite arenas?

# Thanks! Questions?

Strategic Characterization of  $\omega\text{-Regular}$  Languages

<sup>&</sup>lt;sup>17</sup>Colcombet and Niwiński, "On the positional determinacy of edge-labeled games", 2006.