

How to Play Optimally for Regular Objectives?

Patricia Bouyer¹, Nathanaël Fijalkow²,
Mickael Randour³, Pierre Vandenhove^{1,3}

¹Université Paris-Saclay, CNRS, ENS Paris-Saclay, LMF, France

²CNRS, LaBRI and Université de Bordeaux, France & University of Warsaw, Poland

³F.R.S.-FNRS & UMONS – Université de Mons, Belgium

July 11, 2023 – ICALP'23



Laboratoire
Méthodes
Formelles



Outline

Synthesis problem

Synthesizing **controllers** for **reactive systems** with an **objective**.
Systems and their environment modeled with **zero-sum games**.

How to play?

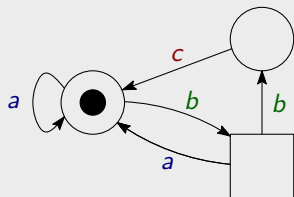
Given an objective, what are the **optimal controllers**?
What are the **smallest** ones?

Results

Characterization of finite-state controllers for *regular objectives*;
computational complexity of finding small ones.

Games

Zero-sum turn-based games on graphs



- $C = \{a, b, c\}$, arena $\mathcal{A} = (V_1, V_2, E)$.
- Two players \mathcal{P}_1 (\circ) and \mathcal{P}_2 (\square)
- **Objective** of \mathcal{P}_1 is a set $W \subseteq C^\omega$.
- **Zero-sum**: objective of \mathcal{P}_2 is $C^\omega \setminus W$.

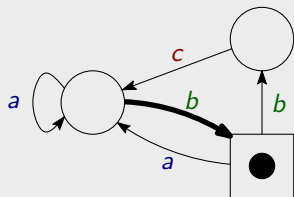
How to play?

A **strategy** of a player is a function $\sigma: E^* \rightarrow E$.

A strategy σ of \mathcal{P}_1 is **winning for W from $v \in V$** if all infinite paths from v *consistent with σ* induce an infinite word in W .

Games

Zero-sum turn-based games on graphs



- $C = \{a, b, c\}$, arena $\mathcal{A} = (V_1, V_2, E)$.
- Two players \mathcal{P}_1 (\circ) and \mathcal{P}_2 (\square) generate an infinite word $w = b$
- **Objective** of \mathcal{P}_1 is a set $W \subseteq C^\omega$.
- **Zero-sum**: objective of \mathcal{P}_2 is $C^\omega \setminus W$.

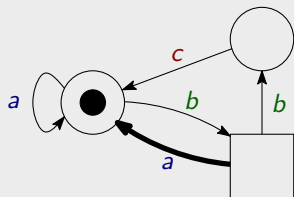
How to play?

A **strategy** of a player is a function $\sigma: E^* \rightarrow E$.

A strategy σ of \mathcal{P}_1 is **winning for W from $v \in V$** if all infinite paths from v consistent with σ induce an infinite word in W .

Games

Zero-sum turn-based games on graphs



- $C = \{a, b, c\}$, arena $\mathcal{A} = (V_1, V_2, E)$.
- Two players \mathcal{P}_1 (\circ) and \mathcal{P}_2 (\square) generate an infinite word $w = ba$
- **Objective** of \mathcal{P}_1 is a set $W \subseteq C^\omega$.
- **Zero-sum**: objective of \mathcal{P}_2 is $C^\omega \setminus W$.

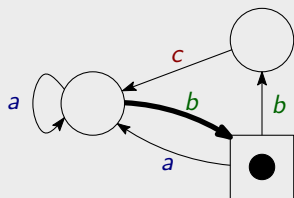
How to play?

A **strategy** of a player is a function $\sigma: E^* \rightarrow E$.

A strategy σ of \mathcal{P}_1 is **winning for W from $v \in V$** if all infinite paths from v consistent with σ induce an infinite word in W .

Games

Zero-sum turn-based games on graphs



- $C = \{a, b, c\}$, arena $\mathcal{A} = (V_1, V_2, E)$.
- Two players \mathcal{P}_1 (\circ) and \mathcal{P}_2 (\square) generate an infinite word $w = bab$
- **Objective** of \mathcal{P}_1 is a set $W \subseteq C^\omega$.
- **Zero-sum**: objective of \mathcal{P}_2 is $C^\omega \setminus W$.

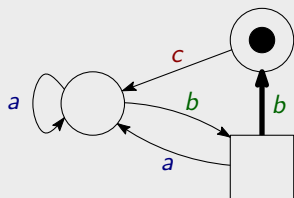
How to play?

A **strategy** of a player is a function $\sigma: E^* \rightarrow E$.

A strategy σ of \mathcal{P}_1 is **winning for W from $v \in V$** if all infinite paths from v consistent with σ induce an infinite word in W .

Games

Zero-sum turn-based games on graphs



- $C = \{a, b, c\}$, arena $\mathcal{A} = (V_1, V_2, E)$.
- Two players \mathcal{P}_1 (\circ) and \mathcal{P}_2 (\square) generate an infinite word $w = babb$
- **Objective** of \mathcal{P}_1 is a set $W \subseteq C^\omega$.
- **Zero-sum**: objective of \mathcal{P}_2 is $C^\omega \setminus W$.

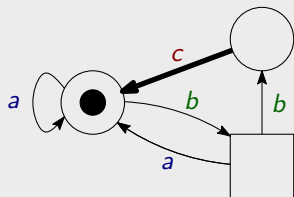
How to play?

A **strategy** of a player is a function $\sigma: E^* \rightarrow E$.

A strategy σ of \mathcal{P}_1 is **winning for W from $v \in V$** if all infinite paths from v consistent with σ induce an infinite word in W .

Games

Zero-sum turn-based games on graphs



- $C = \{a, b, c\}$, arena $\mathcal{A} = (V_1, V_2, E)$.
- Two players \mathcal{P}_1 (\circ) and \mathcal{P}_2 (\square) generate an infinite word $w = babbcb \dots \in C^\omega$.
- **Objective** of \mathcal{P}_1 is a set $W \subseteq C^\omega$.
- **Zero-sum**: objective of \mathcal{P}_2 is $C^\omega \setminus W$.

How to play?

A **strategy** of a player is a function $\sigma: E^* \rightarrow E$.

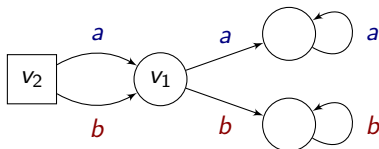
A strategy σ of \mathcal{P}_1 is **winning for W from $v \in V$** if all infinite paths from v consistent with σ induce an infinite word in W .

Example of a game

Let $C = \{a, b\}$ and

$$W = \{c_1 c_2 \dots \in C^\omega \mid \exists i \geq 1, c_i = a \wedge \exists j \geq 1, c_j = b\}.$$

In the following arena, \mathcal{P}_1 has a winning strategy from v_2 .

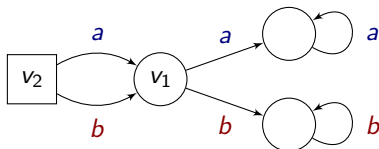


Example of a game

Let $C = \{a, b\}$ and

$$W = \{c_1c_2\dots \in C^\omega \mid \exists i \geq 1, c_i = a \wedge \exists j \geq 1, c_j = b\}.$$

In the following arena, \mathcal{P}_1 has a winning strategy from v_2 .

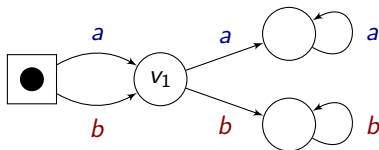


Example of a game

Let $C = \{a, b\}$ and

$$W = \{c_1c_2\dots \in C^\omega \mid \exists i \geq 1, c_i = a \wedge \exists j \geq 1, c_j = b\}.$$

In the following arena, \mathcal{P}_1 has a winning strategy from v_2 .

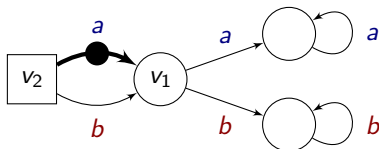


Example of a game

Let $C = \{a, b\}$ and

$$W = \{c_1 c_2 \dots \in C^\omega \mid \exists i \geq 1, c_i = a \wedge \exists j \geq 1, c_j = b\}.$$

In the following arena, \mathcal{P}_1 has a winning strategy from v_2 .

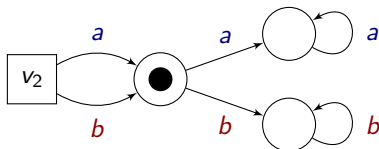


Example of a game

Let $C = \{a, b\}$ and

$$W = \{c_1 c_2 \dots \in C^\omega \mid \exists i \geq 1, c_i = a \wedge \exists j \geq 1, c_j = b\}.$$

In the following arena, \mathcal{P}_1 has a winning strategy from v_2 .

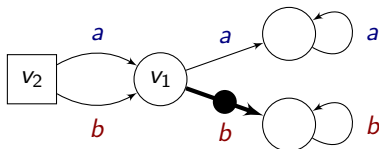


Example of a game

Let $C = \{a, b\}$ and

$$W = \{c_1 c_2 \dots \in C^\omega \mid \exists i \geq 1, c_i = a \wedge \exists j \geq 1, c_j = b\}.$$

In the following arena, \mathcal{P}_1 has a winning strategy from v_2 .

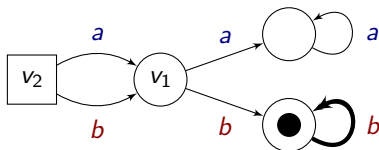


Example of a game

Let $C = \{a, b\}$ and

$$W = \{c_1 c_2 \dots \in C^\omega \mid \exists i \geq 1, c_i = a \wedge \exists j \geq 1, c_j = b\}.$$

In the following arena, \mathcal{P}_1 has a winning strategy from v_2 .

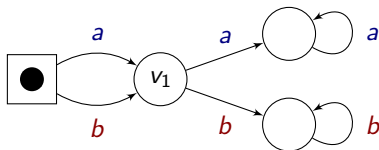


Example of a game

Let $C = \{a, b\}$ and

$$W = \{c_1c_2\dots \in C^\omega \mid \exists i \geq 1, c_i = a \wedge \exists j \geq 1, c_j = b\}.$$

In the following arena, \mathcal{P}_1 has a winning strategy from v_2 .

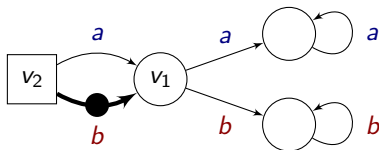


Example of a game

Let $C = \{a, b\}$ and

$$W = \{c_1c_2\dots \in C^\omega \mid \exists i \geq 1, c_i = a \wedge \exists j \geq 1, c_j = b\}.$$

In the following arena, \mathcal{P}_1 has a winning strategy from v_2 .

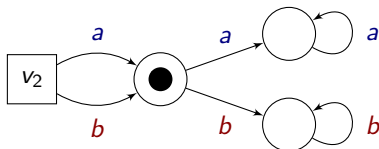


Example of a game

Let $C = \{a, b\}$ and

$$W = \{c_1c_2\dots \in C^\omega \mid \exists i \geq 1, c_i = a \wedge \exists j \geq 1, c_j = b\}.$$

In the following arena, \mathcal{P}_1 has a winning strategy from v_2 .

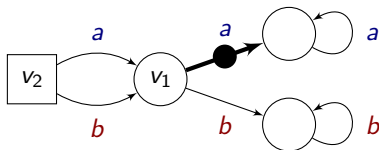


Example of a game

Let $C = \{a, b\}$ and

$$W = \{c_1c_2\dots \in C^\omega \mid \exists i \geq 1, c_i = a \wedge \exists j \geq 1, c_j = b\}.$$

In the following arena, \mathcal{P}_1 has a winning strategy from v_2 .

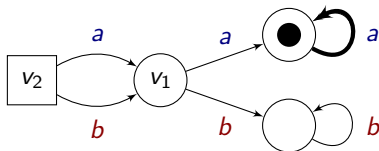


Example of a game

Let $C = \{a, b\}$ and

$$W = \{c_1 c_2 \dots \in C^\omega \mid \exists i \geq 1, c_i = a \wedge \exists j \geq 1, c_j = b\}.$$

In the following arena, \mathcal{P}_1 has a winning strategy from v_2 .



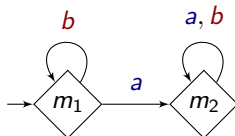
Finite-memory strategy

In general, a strategy $\sigma: E^* \rightarrow E$ is an **infinite** object.
For synthesis, great if it has a **finite** representation:

Memory structure

Memory structure $(M, m_{\text{init}}, \alpha_{\text{upd}})$: finite set of states M , initial state m_{init} , update function $\alpha_{\text{upd}}: M \times C \rightarrow M$.

Ex.: remember whether a has already been seen:



Given an arena $\mathcal{A} = (V_1, V_2, E)$: *next-action function* $\alpha_{\text{nxt}}: V_i \times M \rightarrow E$.

General quest

Quest

- Given an objective, **characterize the memory structures** that suffice **in all arenas** for each player.
- From a representation of an objective, compute **minimal** ones.

Missing piece: **regular** objectives

Well-studied: *Muller conditions*,^{1,2} focusing on what is seen infinitely often.
We take the **opposite** stance.

Regular objectives

- A **regular reachability objective** is a set LC^ω with $L \subseteq C^*$ regular.
- A **regular safety objective** is a set $C^\omega \setminus LC^\omega$.
- A player wants to **realize** a word in L , the other wants to **prevent** it.
- Expressible as standard **deterministic finite automata**.
- *Special cases of open and closed sets, at the first level of the Borel hierarchy.*

¹Dziembowski, Jurdziński, and Walukiewicz, "How Much Memory is Needed to Win Infinite Games?", 1997.

²Casares, "On the Minimisation of Transition-Based Rabin Automata and the Chromatic Memory Requirements of Muller Conditions", 2022.

Precise quest

Memory requirements of regular objectives

Characterize the memory structures that suffice to make optimal decisions for **regular objectives**. Compute **minimal** ones.

Ideas

- A **DFA recognizing the language L** , seen as a memory structure, always suffices for both players. . .
- . . . but minimal memory structures can be **much smaller!**

*In this talk: characterization for regular **safety** objectives.*

Comparing words

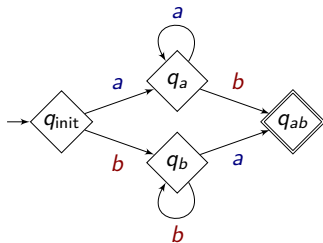
Let $W \subseteq C^\omega$ be an objective.

Preorder on finite words

For $x, y \in C^*$, $x \preceq_W y$ if for all $z \in C^\omega$, $xz \in W \Rightarrow yz \in W$.

$\rightsquigarrow y$ is a better situation than x .

Example: let W be the regular **safety** objective induced by this DFA.



E.g., $a \prec_W \varepsilon$, $ab \prec_W a$,
 a and b are incomparable for \preceq_W .

Necessary condition for the memory

Let $W \subseteq C^\omega$ be an objective.

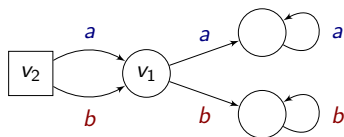
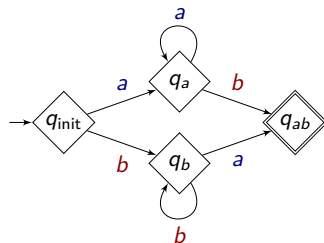
Lemma

A memory structure $\mathcal{M} = (M, m_{\text{init}}, \alpha_{\text{upd}})$ needs to **distinguish incomparable words**, i.e.,

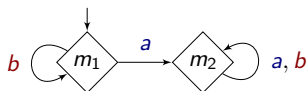
if $x, y \in C^*$ are incomparable for \preceq_W ,
then x and y must lead to **different memory states** of \mathcal{M} .

Why is it necessary? Example

Ex.: if a and b are incomparable, they must be distinguished in some arena.



One structure that suffices:



Characterization: safety

Let W be a **regular safety objective**.

Theorem

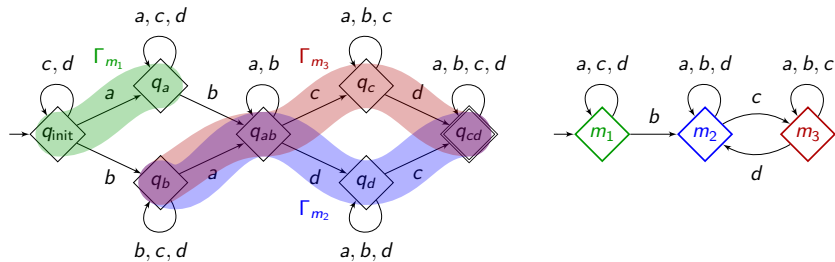
A memory structure \mathcal{M} suffices for winning strategies in all arenas
if and only if
 \mathcal{M} distinguishes incomparable words.

Question

How to find a **smallest** such memory structure?

Automata-theoretic reformulation

\rightsquigarrow Reformulation of “ \mathcal{M} distinguishes incomparable prefixes” into a **covering of the automaton states with chains**.



Computational complexity: safety

Decision problem

MEMORYSAFE

Input: Automaton \mathcal{D} inducing the regular **safety** objective W and $k \in \mathbb{N}$.

Question: \exists memory structure \mathcal{M} with $\leq k$ states that suffices for W ?

Thanks to the covering reformulation (reduction from Hamiltonian cycle):

Theorem

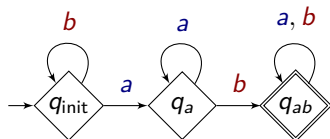
MEMORYSAFE is NP-complete.

Same problem for regular **reachability** objectives is also NP-complete.

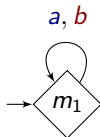
Regular reachability

For regular **reachability** objectives, memory structures still need to **distinguish incomparable words**.

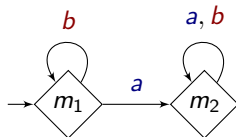
But **not sufficient!** E.g., Regular objectives induced by this automaton:



One state suffices for safety:



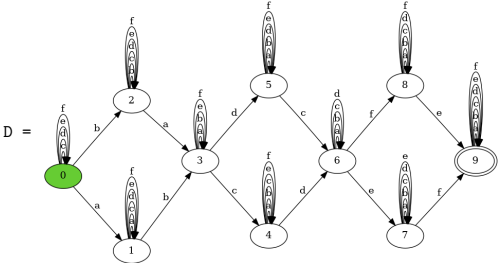
Two states needed for reachability:



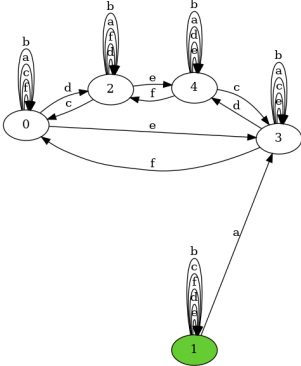
Characterization requires a second property (*not shown here*).

Implementation

Algorithms³ that find minimal memory structures for regular objectives.



M = memReq.smallest_memory_safety(D) →



³<https://github.com/pvdhove/regularMemoryRequirements>

Conclusion

Future work

- Minimal memory structures for all ω -**regular objectives**?
 - ✓ Muller conditions,^{4,5}
 - ✓ deterministic Büchi automata⁶ (partially),
 - ✓ **regular objectives**.
- Memory model only observes **colors**... but observing **edges** may need fewer memory states.
Understood for safety,⁷ but not for reachability.

Thanks!

⁴Dziembowski, Jurdziński, and Walukiewicz, "How Much Memory is Needed to Win Infinite Games?", 1997.

⁵Casares, "On the Minimisation of Transition-Based Rabin Automata and the Chromatic Memory Requirements of Muller Conditions", 2022.

⁶Bouyer, Casares, et al., "Half-Positional Objectives Recognized by Deterministic Büchi Automata", 2022.

⁷Colcombet, Fijalkow, and Horn, "Playing Safe", 2014.