

Strategy Complexity of Zero-Sum Games on Graphs

Pierre Vandenhove

LaBRI, Université de Bordeaux

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de **BORDEAUX**

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Overview

Strategy complexity

Understand if **complex** strategies must be used, or if **simple** strategies suffice to achieve an **objective** in presence of an **antagonistic** environment.

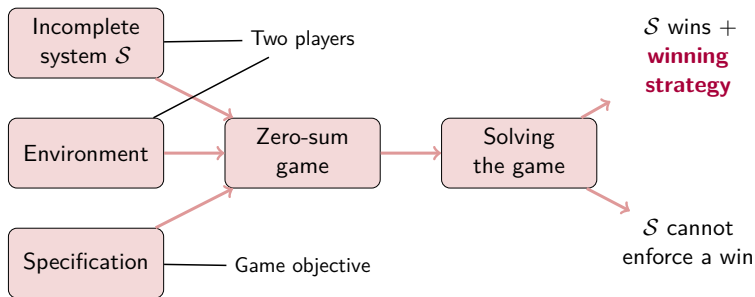
Aim of the talk

- **Motivate** the question of strategy complexity.
- Present the **state of the art**.
- Review **recent results** on the topic (part of my PhD thesis).

Context: **synthesis**

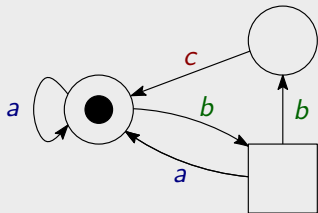
- An (incomplete, *reactive*) **system**,
- living in an (uncontrollable) **environment**,
- with a purpose/**specification**.

↪ Modeling through a *zero-sum game*.



Games

Zero-sum turn-based games on graphs



- **Colors** C , arena $\mathcal{A} = (V_1, V_2, E)$.
- Two **players** \mathcal{P}_1 (\circ) and \mathcal{P}_2 (\square).
- **Objective** of \mathcal{P}_1 is a set $W \subseteq C^\omega$.
- **Zero-sum**: objective of \mathcal{P}_2 is $C^\omega \setminus W$.

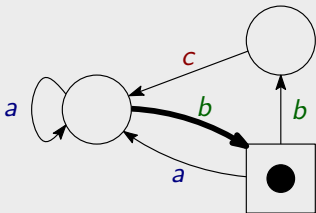
Strategies

A **strategy** of player \mathcal{P}_i is a function $\sigma: E^* \rightarrow E$.

A strategy σ of \mathcal{P}_1 is **winning for W from $v \in V$** if all infinite paths from v *consistent with σ* induce an infinite word in W .

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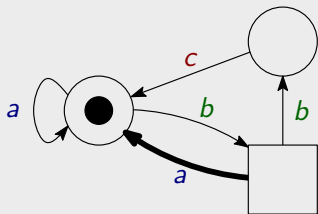
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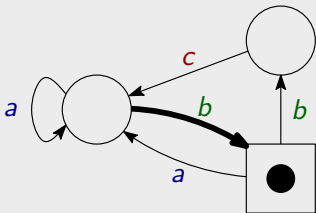
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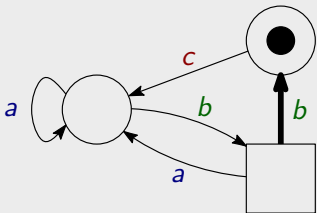
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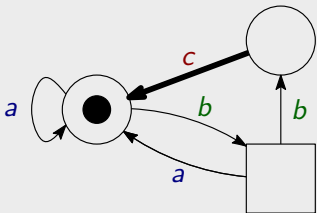
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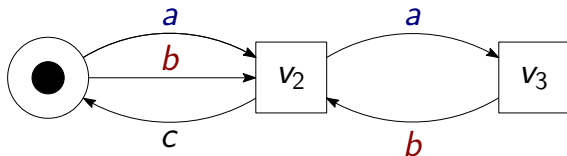
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Example

$$C = \{a, b, c\},$$

$$W = \{w \in C^\omega \mid a \text{ is seen } \infty \text{ often and } b \text{ is seen } \infty \text{ often}\}$$

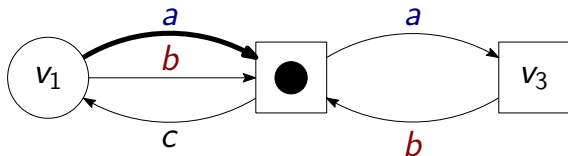


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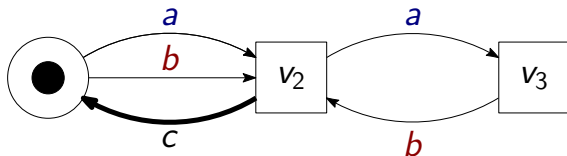


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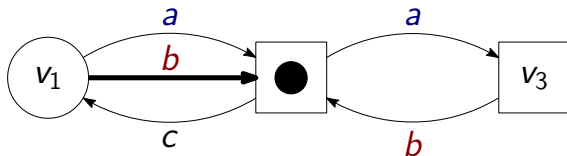


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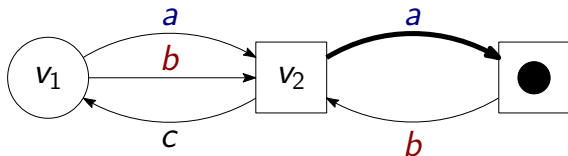


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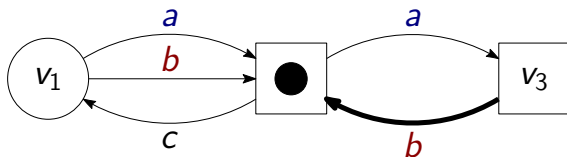


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\mathcal{P}_1 has a winning strategy from every vertex.

Strategy complexity

- Given a game and an initial vertex \rightsquigarrow **who can win?**
- To decide it, exhibit a **winning strategy** of a player.
- **Issues:**
 - ▶ strategies $\sigma: E^* \rightarrow E$ may not have a finite representation;
 - ▶ there are infinitely many of them.

Strategy complexity

Given an **objective**, understand if **simple** strategies suffice to win, or if **complex** strategies are required to win (when possible).

Desirable properties:

- winning strategies use bounded information (finite representation!);
- computable bounds (finite number of strategies!).

Simple strategies

Memoryless strategies

A strategy is **memoryless** if it makes decisions based only on the **current arena vertex** ($\sigma: V_i \rightarrow E$).

Finite-memory strategies

A strategy is **finite-memory** if it makes decisions based on

- the current arena vertex, **and**
- the current state of a finite *memory structure*.

Memory structures

Memory structures

A **memory structure** is a tuple $\mathcal{M} = (M, m_{\text{init}}, \alpha_{\text{upd}})$ where M is a finite set of states, $m_{\text{init}} \in M$, and $\alpha_{\text{upd}}: M \times C \rightarrow M$.

Given \mathcal{M} and an arena $\mathcal{A} = (V_1, V_2, E)$, a *finite-memory strategy* of \mathcal{P}_i is a function

$$\sigma: V_i \times M \rightarrow E.$$

Remark

Memory structures are **chromatic**: only observe colors.

Given $\mathcal{A} = (V_1, V_2, E)$, slightly more general¹ to have

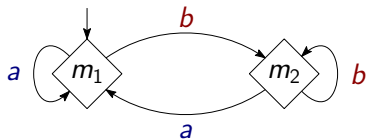
$$\alpha_{\text{upd}}: M \times E \rightarrow M.$$

Still, we consider here **chromatic** structures (additional motivation later).

¹Casares, "On the Minimisation of Transition-Based Rabin Automata and the Chromatic Memory Requirements of Muller Conditions", 2022.

Examples

E.g., structure to remember whether a or b was last seen:

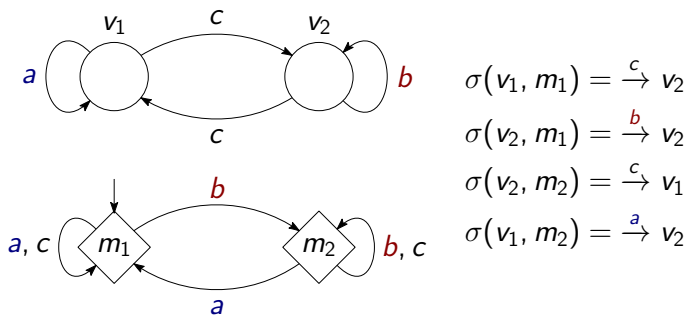


Memoryless strategies use **memory structure** \rightarrow  C .

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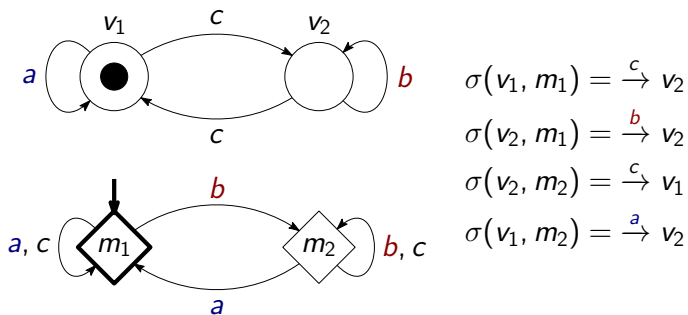
\rightsquigarrow Memoryless strategies do **not** suffice...

but **two memory states** do! There is a winning strategy $\sigma: V_1 \times M \rightarrow E$.

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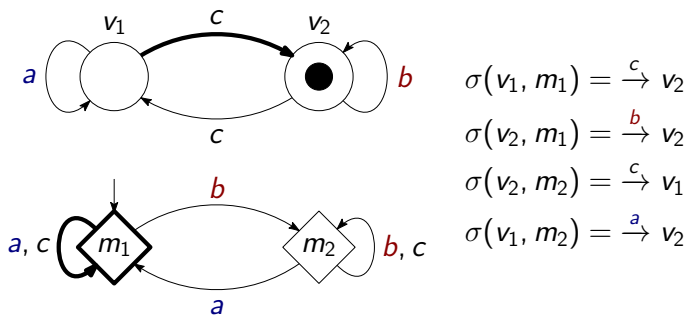
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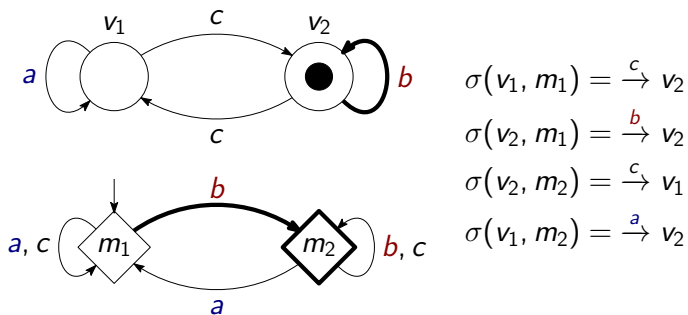
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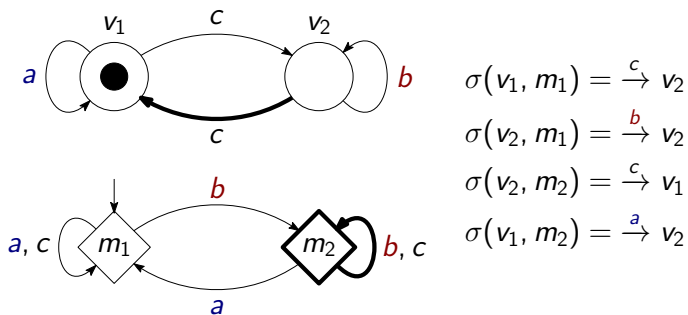
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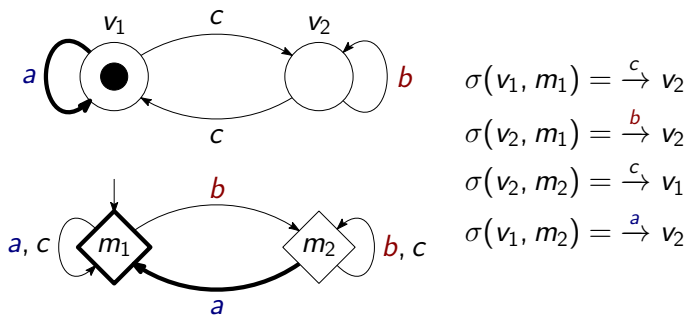
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$$\sigma(v_1, m_1) = \xrightarrow{c} v_2$$

$$\sigma(v_2, m_1) = \xrightarrow{b} v_2$$

$$\sigma(v_2, m_2) = \xrightarrow{c} v_1$$

$$\sigma(v_1, m_2) = \xrightarrow{a} v_2$$

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but **two memory states** do! There is a winning strategy $\sigma: V_1 \times M \rightarrow E$.

Finite-memory determinacy

Memoryless determinacy

An **objective** is **memoryless-determined** if **in all arenas**, **memoryless** strategies suffice **for both players**.

Finite-memory determinacy

An **objective** is **finite-memory determined** if **in all arenas**, **finite-memory** strategies suffice **for both players**.

Various definitions depending on

- the class of **arenas** considered (finite, infinite, finitely branching...),
- whether we focus on **both** players or a **single** player.

State of the art: Memoryless determinacy

Many “classical” objectives are **memoryless-determined**:
reachability, Büchi, parity, energy, mean payoff, discounted sum. . .

Memoryless determinacy is well-understood:

- **Sufficient conditions** for **both** players,² for **a single** player.³
- **Characterizations** for **both** players over finite⁴/infinite⁵ arenas, for **a single** player over infinite arenas.⁶

²Gimbert and Zielonka, “When Can You Play Positionally?”, 2004; Aminof and Rubin, “First-cycle games”, 2017.

³Kopczyński, “Half-Positional Determinacy of Infinite Games”, 2006; Bianco et al., “Exploring the boundary of half-positionality”, 2011.

⁴Gimbert and Zielonka, “Games Where You Can Play Optimally Without Any Memory”, 2005.

⁵Colcombet and Niviński, “On the positional determinacy of edge-labeled games”, 2006.

⁶Ohlmann, “Characterizing Positionality in Games of Infinite Duration over Infinite Graphs”, 2023.

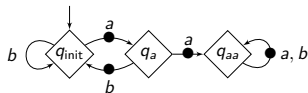
State of the art: Finite-memory determinacy

- **Finite-memory determinacy** is understood for specific objectives,⁷ but few results of wide applicability.⁸
- Central class: **ω -regular objectives**. Examples with $C = \{a, b\}$:

ω -regular expressions

$$b^* ab^* aC^\omega$$

ω -automata



Linear temporal logic (LTL)

$$\mathbf{GF}a$$

Theorem^{9,10}

All **ω -regular objectives** are finite-memory determined.

⁷Chatterjee, Randour, and Raskin, "Strategy synthesis for multi-dimensional quantitative objectives", 2014; Colcombet, Fijalkow, and Horn, "Playing Safe", 2014; Bouyer, Hofman, et al., "Bounding Average-Energy Games", 2017.

⁸Le Roux, Pauly, and Randour, "Extending Finite-Memory Determinacy by Boolean Combination of Winning Conditions", 2018; Bouyer, Le Roux, and Thomasset, "Finite-Memory Strategies in Two-Player Infinite Games", 2022.

⁹Büchi and Landweber, "Definability in the Monadic Second-Order Theory of Successor", 1969.

¹⁰Rabin, "Decidability of Second-Order Theories and Automata on Infinite Trees", 1969.

Significance

Consequences of a fine-grained understanding of **strategy complexity**:

- **Decidability** of logical theories through FM det. (see *monadic second-order logic*, linked to ω -regular objectives¹¹).
- Practical **synthesis** problems through FM det. (see, e.g., *LTL specifications*¹²).
- At the core of algorithms to **solve** games (see, e.g., *parity games*¹³).
- Controllers as **compact** as possible.

¹¹Büchi and Landweber, "Definability in the Monadic Second-Order Theory of Successor", 1969.

¹²Pnueli, "The Temporal Logic of Programs", 1977.

¹³Zielonka, "Infinite Games on Finitely Coloured Graphs with Applications to Automata on Infinite Trees", 1998.

Plan: three results

- Two “theoretical” **characterizations** of finite-memory determinacy:
 - ▶ I. Reduction to **simpler arenas**.
 - ▶ II. Link with **automaton representation**.
- III. One “effective” characterization to **compute** small memory structures; focus on **ω -regular objectives**.

Joint works with P. Bouyer, A. Casares, N. Fijalkow, S. Le Roux, Y. Oualhadj, M. Randour.

I. Reduction to **simpler arenas**

Reduction to simpler arenas

*One-to-two-player memoryless lift (finite arenas)*¹⁴

Let $W \subseteq C^\omega$ be an objective. If

- in all **one-player** arenas of \mathcal{P}_1 , \mathcal{P}_1 has memoryless winning strategies,
 - in all **one-player** arenas of \mathcal{P}_2 , \mathcal{P}_2 has memoryless winning strategies,
- then both players have memoryless winning strategies in **two-player** arenas.

Strategy complexity does not increase when an opponent is added!
Easy to recover **memoryless determinacy** of, e.g., **parity**¹⁵ and **mean-payoff**¹⁶ objectives.

What about **finite-memory determinacy**?

¹⁴Gimbert and Zielonka, "Games Where You Can Play Optimally Without Any Memory", 2005.

¹⁵Emerson and Jutla, "Tree Automata, Mu-Calculus and Determinacy (Extended Abstract)", 1991.

¹⁶Ehrenfeucht and Mycielski, "Positional Strategies for Mean Payoff Games", 1979.

What about finite-memory determinacy?

- **Counterexample** to a one-to-two-player lift for FM determinacy 😞.
- In the counterexample, **the size of the memory depends** on the size of the one-player **arenas**. **Motivates the restriction to...**

Arena-independent finite memory

An objective is *arena-independent finite-memory determined* if

there exists a memory structure \mathcal{M} such that for all arenas \mathcal{A} ,
strategies based on \mathcal{M} suffice to win in \mathcal{A} .

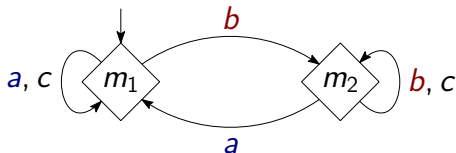
- Requires **chromatic** memory structures.
- Still holds for ω -regular objectives!
- **One-to-two-player lift works!**

Example

$$C = \{a, b, c\},$$

$$W = \{w \in C^\omega \mid a \text{ is seen } \infty \text{ly often and } b \text{ is seen } \infty \text{ly often}\}$$

This memory structure actually suffices in **all** arenas!



\rightsquigarrow W is **arena-independent** finite-memory determined.

One-to-two-player finite-memory lift

One-to-two-player FM lift [Bouyer, Le Roux, Oualhadj, Randour, V., 2022]¹⁷

Let $W \subseteq C^\omega$ be an objective and $\mathcal{M}_1, \mathcal{M}_2$ be memory structures. If

- in all **one-player** arenas of \mathcal{P}_1 , \mathcal{P}_1 has winning strategies based on \mathcal{M}_1 ,
- in all **one-player** arenas of \mathcal{P}_2 , \mathcal{P}_2 has winning strategies based on \mathcal{M}_2 ,

then both players have winning strategies based on $\mathcal{M}_1 \otimes \mathcal{M}_2$ in **two-player** arenas.

¹⁷Bouyer, Le Roux, Oualhadj, et al., “Games Where You Can Play Optimally with Arena-Independent Finite Memory”, 2022.

One-to-two-player lifts

When does strategy complexity in **two-player** zero-sum games reduce to strategy complexity in **one-player** games?

Arenas \ Str. comp.	Memoryless	FM “ $\exists MVA$ ”	Mildly growing
Finite	[GZ05] ¹⁸	[BLORV22] ¹⁹	[Koz22] ²⁰
Infinite	[CN06] ²¹	[BRV23] ²²	
Finite <i>stochastic</i>	[GZ09] ²³	[BORV21] ²⁴	

¹⁸Gimbert and Zielonka, “Games Where You Can Play Optimally Without Any Memory”, 2005.

¹⁹Bouyer, Le Roux, Oualhadj, et al., “Games Where You Can Play Optimally with Arena-Independent Finite Memory”, 2022.

²⁰Kozachinskiy, “One-To-Two-Player Lifting for Mildly Growing Memory”, 2022.

²¹For prefix-independent objectives; Colcombet and Nawiński, “On the positional determinacy of edge-labeled games”, 2006.

²²Bouyer, Randour, and Vandenhove, “Characterizing Omega-Regularity Through Finite-Memory Determinacy of Games on Infinite Graphs”, 2023.

²³Gimbert and Zielonka, “Pure and Stationary Optimal Strategies in Perfect-Information Stochastic Games with Global Preferences”, 2009.

²⁴Bouyer, Oualhadj, et al., “Arena-Independent Finite-Memory Determinacy in Stochastic Games”, 2021.

II. Link with **automaton representation**

Second reduction: Link with automaton representation

Let $W \subseteq C^\omega$ be an objective.

(Almost) Myhill-Nerode congruence

For $x, y \in C^*$, $x \sim_W y$ if for all $z \in C^\omega$, $xz \in W \iff yz \in W$.

I.e., x and y have the same winning continuations; as good as each other.

Properties

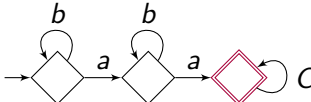
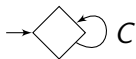
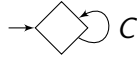
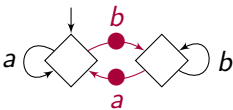
- If W is **ω -regular**, then \sim_W has finitely many equivalence classes.
- There is a DFA \mathcal{S}_W “**prefix classifier**” associated with \sim_W .

\mathcal{S}_W might not “recognize” the objective (\neq languages of *finite* words)...

Two examples

... but there is a *decomposition* with **prefix classifier** \times **memory structure**.

Let $C = \{a, b\}$.

Objective	Prefix classifier \mathcal{S}_W	Sufficient memory
$W = b^*ab^*aC^\omega$		
$W = \text{"a and b } \infty \text{ often"}$		

From memory to automaton

Let $W \subseteq C^\omega$ be an objective.

Theorem [Bouyer, Randour, V., 2023]²⁵

If a finite memory structure \mathcal{M} suffices to win in **infinite** arenas for both players, then

W is recognized by a **parity automaton** $(S_W \otimes \mathcal{M}, \rho)$.

In particular,

W is **arena-independent finite-memory determined** over infinite arenas



W is ω -**regular**.

Generalizes [CN06]²⁶ (prefix-independent, memoryless case).

²⁵Bouyer, Randour, and Vandenhove, "Characterizing Omega-Regularity Through Finite-Memory Determinacy of Games on Infinite Graphs", 2023.

²⁶Colcombet and Niwinski, "On the positional determinacy of edge-labeled games", 2006.

Up to now

Summary

Two characterizations to help study kinds of finite-memory determinacy.

Limits

Few hypotheses, but. . .

- not fully **effective**;
- in general, no tight memory requirements for **each** player.

III. Effective characterization

First: a well-studied case

For $\mathcal{F} \subseteq 2^C$, *objective Muller*(\mathcal{F}) is the set of words whose **set of colors seen infinitely often** is in \mathcal{F} .

Examples with $C = \{a, b\}$:

- $\text{Muller}(\{\{a\}, \{a, b\}\}) = \text{“}\infty\text{ly many } a\text{”}$,
- $\text{Muller}(\{\{a, b\}\}) = \text{“}\infty\text{ly many } a \text{ and } \infty\text{ly many } b\text{”}$.

Memory requirements of Muller objectives

Series of papers between 1982 and 1998,^{27,28,29,30} ending with a precise **characterization** and an **algorithm**.³¹

\rightsquigarrow **Upper bound** on memory requirements for all ω -regular objectives!

²⁷Gurevich and Harrington, “Trees, Automata, and Games”, 1982.

²⁸Emerson and Jutla, “Tree Automata, Mu-Calculus and Determinacy (Extended Abstract)”, 1991.

²⁹Klarlund, “Progress Measures, Immediate Determinacy, and a Subset Construction for Tree Automata”, 1994.

³⁰Zielonka, “Infinite Games on Finitely Coloured Graphs with Applications to Automata on Infinite Trees”, 1998.

³¹Dziembowski, Jurziński, and Walukiewicz, “How Much Memory is Needed to Win Infinite Games?”, 1997.

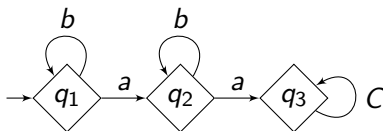
Why an upper bound?

Let $C = \{a, b\}$, $W = b^*ab^*aC^\omega$ (\approx seeing a two or more times).

How to use results about **Muller objectives**?

W is not directly an objective Muller(\mathcal{F}) with $\mathcal{F} \subseteq 2^C$

\rightsquigarrow needs an **automaton structure**.



$\rightsquigarrow W = \text{Muller}(\{\{q_3\}\})$.

Using [DJW97],³² we need 1 memory state. . .

. . . **after** augmenting the arenas with the automaton,
so **upper bound of 3 states of memory**.

But **1 memory state** suffices for winning strategies!

³²Dziembowski, Jurdziński, and Walukiewicz, "How Much Memory is Needed to Win Infinite Games?", 1997.

Other direction: Regular objectives

Missing pieces

Alternative quest: objectives where “**finite prefixes matter**”.

Regular objectives

- A **regular reachability objective** is a set LC^ω with $L \subseteq C^*$ regular.
- A **regular safety objective** is a set $C^\omega \setminus LC^\omega$.

Expressible as standard **deterministic finite automata**.

Question

Memory requirements of regular objectives

Characterize the memory structures that suffice to make optimal decisions for regular objectives **in any arena**. Compute **minimal** ones.

Ideas

- A **DFA recognizing the language L** , taken as a memory structure, always suffices for both players
(\approx usual approach: taking the product of the arena and the DFA).
- But can be much **smaller** in general!
- Properties linked to the Myhill-Nerode congruence.

I explain one of these properties here.

Comparing words

Let $W \subseteq C^\omega$ be an objective.

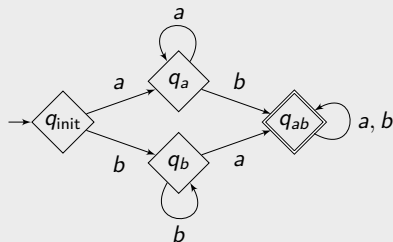
Comparing prefixes

For $x, y \in C^*$, $x \preceq_W y$ if for all $z \in C^\omega$, $xz \in W \implies yz \in W$.

I.e., y has more winning continuations than x ; better situation.

Example

Let W be the regular **reachability** objective induced by this DFA.



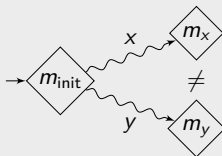
E.g., $\varepsilon \prec_W a$,
 a and b are *incomparable* for \preceq_W .

Necessary condition

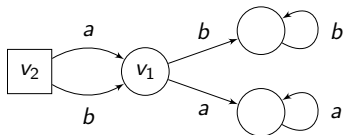
Let $W \subseteq C^\omega$ be an objective, $\mathcal{M} = (M, m_{\text{init}}, \alpha_{\text{upd}})$ be a memory structure.

Lemma

For \mathcal{M} to suffice for \mathcal{P}_1 , \mathcal{M} needs to **distinguish incomparable words**:
if $x, y \in C^*$ are incomparable for \preceq_W , then



Why? We can build an arena in which distinguishing x and y is critical.

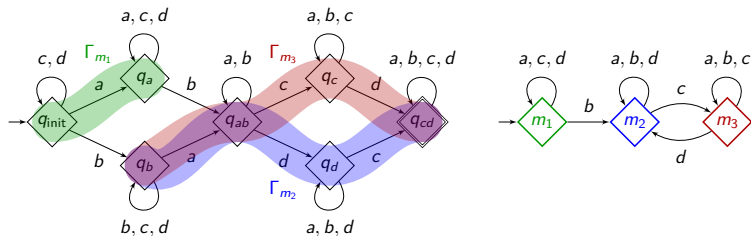


Characterizations

Theorem [Bouyer, Fijalkow, Randour, V., 2023]³³

Let W be a **regular safety objective**.

A memory structure \mathcal{M} suffices in all arenas for \mathcal{P}_1
if and only if
 \mathcal{M} distinguishes incomparable words.



Close characterization for **regular reachability objectives**.

³³Bouyer, Fijalkow, et al., "How to Play Optimally for Regular Objectives?", 2023.

Computational complexity

Decision problems

Input: An automaton \mathcal{D} inducing the regular **reachability** (or **safety**) objective W and $k \in \mathbb{N}$.

Question: \exists a memory structure \mathcal{M} with $\leq k$ states that suffices for W ?

Thanks to the “effectiveness” of the properties, we showed that:

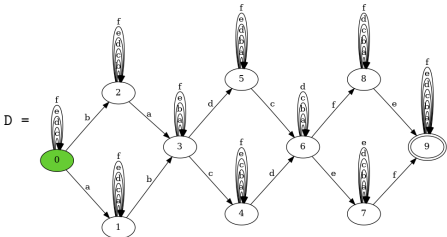
Theorem³⁴

These problems are NP-complete.

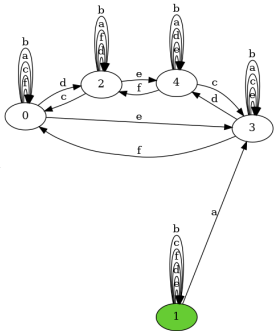
³⁴Bouyer, Fijalkow, et al., “How to Play Optimally for Regular Objectives?”, 2022.

Implementation

Algorithms³⁵ that find minimal memory structures for regular objectives, using a **SAT solver**.



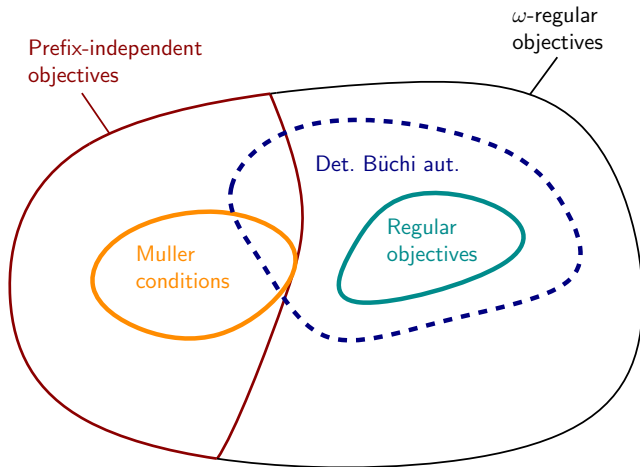
M = memReq.smallest_memory_safety(D) →



³⁵<https://github.com/pvdhove/regularMemoryRequirements>

Overview

Objectives with algorithms to compute **minimal** memory structures:



Only **memoryless** strategies for **deterministic Büchi automata!**

Future works

- Automatically **compute minimal memory structures** for *all* ω -regular objectives?
- More powerful **memory structures**.
 - ▶ Observing *edges* rather than colors (*arena-dependent*).
 - ▶ Well-behaved nondeterminism (*history-determinism*).³⁶
- Practical advantage in knowing the minimal memory structure?

Thanks!

³⁶Boker and Lehtinen, “When a Little Nondeterminism Goes a Long Way: An Introduction to History-Determinism”, 2023.